



PROPOSAL



Prepared for: LRS Seattle Theme - Offset Rev

Plan Advisor: Jane Dough

Advisor Firm: Dough Financial

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About Us

We put you and your business at the center of everything we do. We are certified administrators and consultants whose mission is to proactively support organizations by providing a variety of retirement plan services, including: custom plan design, administration, compliance, government reporting and recordkeeping. We don't sell prepackaged solutions or investments, but instead offer access to over 24,000 traditional and alternative investment options for your retirement plan. We partner with you to create solutions that address your specific financial needs and meet your future retirement goals.

CERTIFIED BY



MEMBER OF



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DESIGNATION FROM



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2018 & 2019 AWARD



2018 AWARD



MEET THE TEAM



Our Company

Headquartered in Seattle, WA, our team is comprised of highly motivated retirement industry professionals with satellite offices across the U.S. serving clients in all fifty states. Our team takes pride in staying informed and knowledgeable about current and relevant industry news so that we may better serve our clients.

We are dedicated to maintaining a staff of highly educated and credentialed professionals so that we can provide a superior degree of expertise and support to our clients and their employees.

We strongly believe that by bettering ourselves through continuous learning we create not only a more knowledgeable team member but also an overall better customer experience. All our plan advisors, recordkeepers, and administrators maintain a minimum level of credentialing.

MANAGEMENT TEAM



Kirsten Currey
Founder & President

"As an entrepreneur myself, I'm thrilled that I was able to create a company that focuses on helping other entrepreneurs find their own success."



Jaime Humphrey
Finance & Human Resource Manager

"It truly is a pleasure to support LRS in its day to day operations and our growing mission to proactively support entrepreneurs and business owners."



Gene Skonetski, QKA, QKC
Client Services Manager

"I am passionate about helping business owners succeed. I work with each client closely to identify their needs and then give them the tools they require to meet those needs head on."



Kimberly Velazquez, QKA, QKC
Recordkeeping Manager

"I come to work everyday, excited that I get to grow people's retirement. Call me a paper pusher, but I like to think of myself as a recordkeeping ninja!"

PRICING SUMMARY

PRICING ASSUMPTIONS		AMOUNT
Plan Assets - Estimated		\$ 3,000,000
Annual Contributions - Estimated		\$ 100,000
Number of Participants		40
SETUP & PLAN DOCUMENT FEES		AMOUNT
Plan Design & Setup		\$750
TOTAL SETUP FEE (one-time only):		\$750
ANNUAL ADMINISTRATION FEES		AMOUNT
Base Annual Administration		\$1,500
Participant Fees (\$25 per account)		\$1,000
TOTAL ANNUAL FEE:		\$2,500
TOTAL SETUP & ANNUAL FEE:		\$3,250
Less 1st Year Credit (if any):		\$1,000
NET 1st YEAR FEE:		\$2,250



3-YEAR FEE ESTIMATE

3-Year Leading Retirement Solutions Fee Estimate

	<u>Year 1</u>	<u>Year 2</u>	<u>Year 3</u>
Setup Fee	\$750	\$0	\$0
Admin Fee	\$2,500	\$2,500	\$2,500
Total Leading Retirement Solutions Fees	\$3,250	\$2,500	\$2,500

Revenue Share Credits Estimate

	<u>Year 1</u>	<u>Year 2</u>	<u>Year 3</u>
Installation Credits	\$500	\$0	\$0
Ongoing Credits	\$500	\$500	\$500
Total Credits (includes Carryover, if any)	\$1,000	\$500	\$500

About Revenue Sharing: Revenue sharing is built into the majority of recordkeeper platforms standard pricing. Leading Retirement Solutions offers **full transparency** on our fees. Please see Terms and Conditions for additional details. **If there is any 'excess' revenue Leading Retirement Solutions carries it over to the next year as shown above and explained in the 3-year estimate section below.**

Many TPA's do not disclose any revenue share received. *Discover the Leading Retirement Solutions difference.*

3-Year Leading Retirement Solutions Billed Fee Estimate

	<u>Year 1</u>	<u>Year 2</u>	<u>Year 3</u>
Leading Retirement Solutions Billed Fees	\$2,250	\$2,000	\$2,000

All pricing based on assumptions entered, if plan assumptions change pricing will as well. Please see Terms and Conditions for more details.



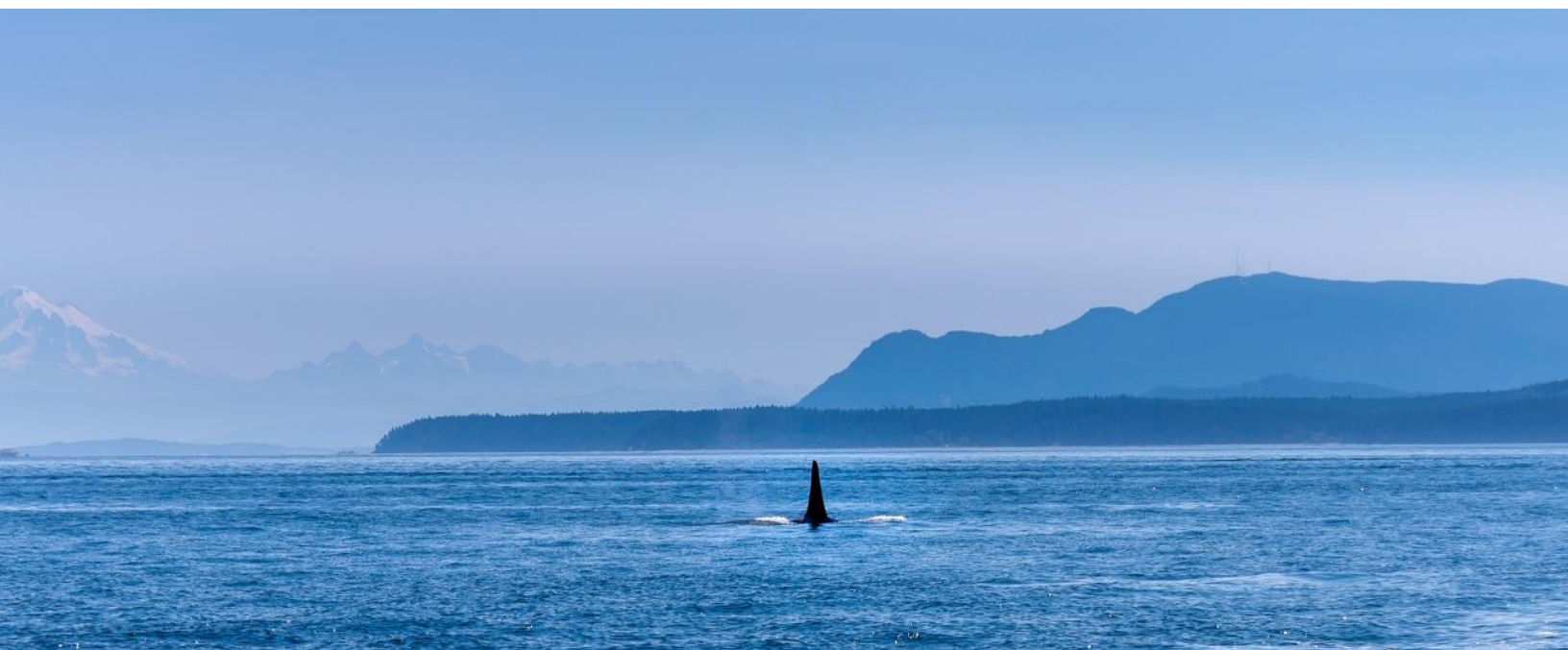
Notice of Intent

Thank you for choosing Leading Retirement Solutions. We look forward to working with you and helping in the transition to .

Please review the pricing assumptions made in this proposal and let us know if there are any changes to be made. We will then update the proposal accordingly.

Please confirm your intent to engage Leading Retirement Solutions as the Third Party Administrator for your plan by clicking on the link below and completing the easy to use online form. Once this form is submitted, Leading Retirement Solutions will start the onboarding process.

For ease of use select our online Notice of Intent by **Clicking Here.**



TERMS AND CONDITIONS



1. About Our Fees:

Leading Retirement Solutions is proud to offer full transparency on all our fees, including a 3-Year Fee Estimate. For more details on fees and services provided please see our Service Agreement.

2. About Revenue Sharing Credits:

Revenue sharing, if any, is determined by . Revenue sharing typically consists of two parts: **(1) Installation Credits** and **(2) Ongoing Credits**. Revenue Sharing could, at the discretion of , be modified or discontinued at any time. Not all recordkeepers offer revenue sharing, while others have built it into their pricing. Please see our Service Agreement for additional details.

3. Installation Services:

Our services may include assisting with the coordination of transfer assets and reconciliation of participant accounts.

4. Pricing Assumptions:

All pricing is contingent on the 'pricing assumptions' shown in the Pricing Summary section. If there are material changes to those assumptions a new proposal will be required to be valid. Please see our service agreement for additional information.

5. Basis Point (bps) Explained:

One Basis Point (bps) is equivalent to 0.01% (1/100th of a percent) or 0.0001 in decimal form.



Miscellaneous / Transactional Fees

Loan (charged to participant)	\$100
Distribution (charged to participant)	\$100
Consulting/Additional plan administration work	\$250 / per hour
Hardship distributions	\$100
Process QDROs	\$350

For a complete list of Miscellaneous Fees please refer to service agreement



Thanks for considering



Leading Retirement Solutions

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TPA Website:

Statement of Confidentiality

This proposal and supporting materials contain confidential and proprietary business information of Leading Retirement Solutions. These materials may be printed or photocopied for use in evaluating the proposal, but are not to be shared with other parties.